

Brochure Supplement

DECEMBER 2016

Erin R. Berns

218 Third Avenue East, Alexandria, MN 56308

(888) 323-1880 or (320) 762-1880

This Brochure Supplement provides information about Erin R. Berns that supplements the Disclosure Brochure of NorthRock Partners, LLC, dba LifeSTAGE Wealth Management (hereinafter "NorthRock"), a copy of which you should have received. Please contact NorthRock's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Erin R. Berns is available on the SEC's website at www.adviserinfo.sec.gov.

NorthRock Partners, LLC, a Registered Investment Adviser

650 3rd Avenue South, Suite 1500, Minneapolis, MN 55402 | (612) 367-8800

Item 2. Educational Background and Business Experience

Born 1986

Post-Secondary Education

Moorhead State University | Bachelor of Science in Education, with minor in Economics | 2010

Keller Graduate School of Management | Masters in Business Administration | 2014

Recent Business Background

NorthRock Partners, LLC, dba LifeSTAGE Wealth Management | Associate Financial Advisor & Agent | December 2016 – Present

LifeSTAGE Wealth Management, LLC | Advisor Representative | August 2014 – December 2016

Bremer Bank | Banker | September 2011 to August 2014

Verizon Wireless | Market Representative | January 2011 – August 2011

Vector Marketing | Field Sales Manager | May 2006 – January 2011

Item 3. Disciplinary Information

NorthRock is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Erin R. Berns. NorthRock has no information to disclose in relation to this Item.

Item 4. Other Business Activities

NorthRock is required to disclose information regarding any investment-related business or occupation in which Erin R. Berns is actively engaged.

Licensed Insurance Agent

Erin R. Berns is a licensed insurance agent and in such capacity may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that NorthRock recommends the purchase of insurance products where Erin R. Berns receives insurance commissions or other additional compensation. NorthRock seeks to ensure that all recommendations are made in the best interests of clients regardless of any additional compensation earned.

Item 5. Additional Compensation

NorthRock is required to disclose information regarding any arrangement under which Erin R. Berns receives an economic benefit from someone other than a client for providing investment advisory services. NorthRock has no information to disclose in relation to this Item.

Item 6. Supervision

James Lund, Chief Compliance Officer, is generally responsible for supervising Erin R. Berns' advisory activities on behalf of NorthRock. The telephone number to reach James Lund is (612) 367-8800.

NorthRock supervises its personnel and the investments made in client accounts. NorthRock monitors the investments recommended by Erin R. Berns to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. NorthRock periodically reviews the advisory activities of Erin R. Berns, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Erin R. Berns.

Brochure Supplement

June 2017

Peter Hoff

218 Third Avenue East, Alexandria, MN 56308

(888) 323-1880 or (320) 762-1880

This Brochure Supplement provides information about Peter Hoff that supplements the Disclosure Brochure of NorthRock Partners, LLC, dba LifeSTAGE Wealth Management (hereinafter "NorthRock"), a copy of which you should have received. Please contact NorthRock's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Peter Hoff is available on the SEC's website at www.adviserinfo.sec.gov.

NorthRock Partners, LLC, a Registered Investment Adviser

650 3rd Avenue South, Suite 1500, Minneapolis, MN 55402 | (612) 367-8800

Item 2. Educational Background and Business Experience

Born 1970

Post-Secondary Education

Fergus Falls Community College | AA | 1990 – 1992

Attended Southwest State University | 1992 – 1994

Recent Business Background

NorthRock Partners, LLC, dba LifeSTAGE Wealth Management | Private Wealth Manager | May 2017 – Present

NorthRock Partners, LLC, dba LifeSTAGE Wealth Management | Client Relationship Manager | November 2016 – May 2017

Northwoods Energy Trading | Owner | 2012 - 2016

RHM Energy | VP North American Trading | 2010 - 2012

Lighthouse Energy Trading | VP Energy Trading | 2005 - 2010

Item 3. Disciplinary Information

NorthRock is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Peter Hoff. NorthRock has no information to disclose in relation to this Item.

Item 4. Other Business Activities

NorthRock is required to disclose information regarding any investment-related business or occupation in which Peter Hoff is actively engaged. Peter Hoff is engaged in an open-ended consulting arrangement with Pure Energy of Omaha, NE, in which he may periodically provide trading guidance related to energy futures. NorthRock requires that Peter Hoff keep this activity completely separate and distinct from his work with clients.

Item 5. Additional Compensation

NorthRock is required to disclose information regarding any arrangement under which Peter Hoff receives an economic benefit from someone other than a client for providing investment advisory services. Peter Hoff may receive commission income from the consulting activity described above.

Item 6. Supervision

James Lund, Chief Compliance Officer, is generally responsible for supervising Peter Hoff's advisory activities on behalf of NorthRock. The telephone number to reach James Lund is (612) 367-8800.

NorthRock supervises its personnel and the investments made in client accounts. NorthRock monitors the investments recommended by Peter Hoff to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. NorthRock periodically reviews the advisory activities of Peter Hoff, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Peter Hoff.